

RECEIVED

MAY 14 2002

Technology Center 2600

Approved for use through 10/31/2002. OMB 0551-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/532,711
Filing Date	03/22/2000
First Named Inventor	James W. Fosgate
Group Art Unit	2747
Examiner Name	

Total Number of Pages in This Submission

Attorney Docket Number DOL07201

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
PTO-1449
Copy of cited references
Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual name

Thomas A. Gallagher, Reg. No. 24,815

Signature

Date

05/06/2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

0/5/06/2002

Typed or printed name

Karen M. Strange

Signature

Karen M. Strange

Date

05/06/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James W. Fosgate
Serial No.: 09/532,711
Filing Date: March 22, 2000
Title: Method for deriving at least
three audio signals from two
input audio signals

RECEIVED
MAY 14 2002
Technology Center 2600

May 6, 2002
San Francisco, California

Commissioner of Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure set forth in 37 CFR § 1.56, Applicant respectfully submits the following information disclosure statement.

The disclosed references are:

1. US 4,799,260, issued January 17, 1989, Mandell, et al., filed February 26, 1986.
2. US 5,172,415, issued December 15, 1992, Fosgate, filed June 8, 1990.
3. US 5,428,687, issued June 27, 1995, Willcocks et al., filed December 14, 1992.
4. US 5,504,819, issued April 2, 1996, Fosgate, filed July 18, 1994.
5. US 5,625,696, issued April 29, 1997, Fosgate, filed April 2, 1996.
6. US 5,644,640, issued July 1, 1997, Fosgate, filed March 27, 1996.
7. US 4,589,129, issued May 13, 1986, Blackmer et al., filed February 21, 1984.

8. US 5,862,228, issued January 19, 1999, Davis, filed February 21, 1997.
9. US 5,295,189, issued March 15, 1994, Fosgate, filed December 1, 1992.
10. European Patent Application 0 949 845 A2, European Patent Office; published 10/13/1999.
11. Japanese Patent Application No. 08222581; Japanese Patent Office; published 03/06/98.
12. Texas Instruments Incorporated, TL07_ Series Low-Noise JFET-Input Operational Amplifiers data sheet, 1996, Dallas, Texas.
13. Analog Devices, Inc., Dynamic Range Processors/Dual VCA SSM2120/SSM2122 data sheet, 1995, Norwood, Massachusetts.
14. Gundry, Kenneth, "A New Active Matrix Decoder for Surround Sound", AES 19th International Conference, June 21, 2001, Schloss Elmau, Germany.

Applicant also discloses as references the following related patent applications:

15. U.S. Patent Application Serial No. 09/454,810, Fosgate, filed December 3, 1999.
16. U.S. Patent Application Serial No. 09/602,585, Gundry et al., filed June 21, 2000.
17. U.S. Patent Application Serial No. 60/229,712, Fosgate et al., filed August 31, 2000.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), admission that the information cited is, or is considered to be material to patentability, or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

A copy of each reference is enclosed herewith for the convenience of the Examiner.

Applicants respectfully request that the Examiner initial the cited references shown on the enclosed form PTO-1449 and that the references be made of record in the present application.

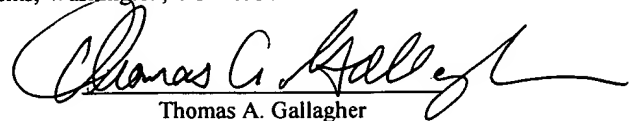
Respectfully submitted,



Thomas A. Gallagher
Reg. No. 24,815
601 California St., Suite 1111
San Francisco, CA 94108-2805
Telephone: (415) 989-8080
Facsimile: (415) 989-0910

Certificate of Mailing Under 37 CFR 1.8

I certify that this Information Disclosure Statement and any enclosed materials are being deposited with the United States Postal Service on May 6, 2002 with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231.


Thomas A. Gallagher

Enc. Transmittal Form
PTO-1449
Copies of Cited References